



2013年汤森路透InCites在线网络研讨会

如何考察合作机构及国际合作对机构发展的贡献

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汤森路透

2013年7月2日



THOMSON REUTERS
汤森路透

机构研究绩效评估和学科分析需求

- 对研究绩效进行评估和标杆管理
 - 评估研究产出和影响力，分析并跟踪研究发展趋势；
 - 与全球同类研究机构进行标杆比较；
- 重点学科/优势学科定位与发展
 - 揭示优势学科分布以及发展趋势；
 - 推动潜力学科快速发展；
- 精确定位研究人才
 - 综合评估本机构具有潜力的研究人才；
 - 为机构吸引外部高端研究人才；
- 鼓励并倡导合作研究
 - 评估高效的合作研究伙伴；
 -



InCites—机构研究绩效综合分析工具

- 来自于 Web of Science 的**30+**年数据，提供**8**种学科分类体系；
- 基于**全球基准数据**，深入分析机构单篇论文、作者、学科、科研合作及研究影响力
- 对全球**170**多个国家与地区的**3,000**多所研究机构的产出和影响力进行多角度比较与分析

The screenshot shows the InCites software interface. At the top, there's a banner with the text "RESEARCH PERFORMANCE PROFILES" and "Comprehensive Publication & Citation Reports". Below it, there are two main sections: "INSTITUTIONAL PROFILE" and "RESEARCH PROFILE".
The "INSTITUTIONAL PROFILE" section includes a "Get Started" button and a red pinned note that says "全球权威学术信息".
The "RESEARCH PROFILE" section includes a "Get Started" button and a red pinned note that says "主流文献计量方法".
Both sections have sub-sections with descriptions and "Get Started" buttons.

RESEARCH PERFORMANCE PROFILES
Comprehensive Publication & Citation Reports
- Pinpoint your institution's strengths and weaknesses
- Identify field strengths within countries/territories
Get Started >

INSTITUTIONAL PROFILE
Key indicators of research excellence
- Examine measures on reputation
- Use indicator groups to discover comparable institutions
Get Started >

RESEARCH PROFILE
- Worldwide students
- Get Started >

全球权威学术信息

主流文献计量方法



InCites的构成

<http://incites.isiknowledge.com>



Signed In | [InCites Home](#) | [My Account](#) | [Customer Forum](#) | [My Datasets](#) | [Logout](#) | [Help](#)

[RESEARCH PERFORMANCE PROFILES](#)

[GLOBAL COMPARISONS](#)

[INSTITUTIONAL PROFILES](#)

[FOLDERS](#)

CALIBRATE YOUR STRATEGIC RESEARCH VISION

InCites is a customized, citation-based research evaluation tool on the Web that enables you to analyze institutional productivity and benchmark your output against peers worldwide.

Follow the links below to view and create reports.



RESEARCH PERFORMANCE PROFILES

Comprehensive Publication & Citation Reports

- Pinpoint influential and emerging researchers
- Monitor collaboration activity

[Get Started](#) >

定制数据—机构、个人、主题、期刊的论文数据，能够进行多指标的深入分析(每季度更新)



GLOBAL COMPARISONS

Output & Impact Statistics for Benchmarking

- Compare your institution to others worldwide
- Identify field strengths within countries/territories

[Get Started](#) >

预置数据—可以进行国家/地区和机构的多指标对比分析(每年更新)



INSTITUTIONAL PROFILES

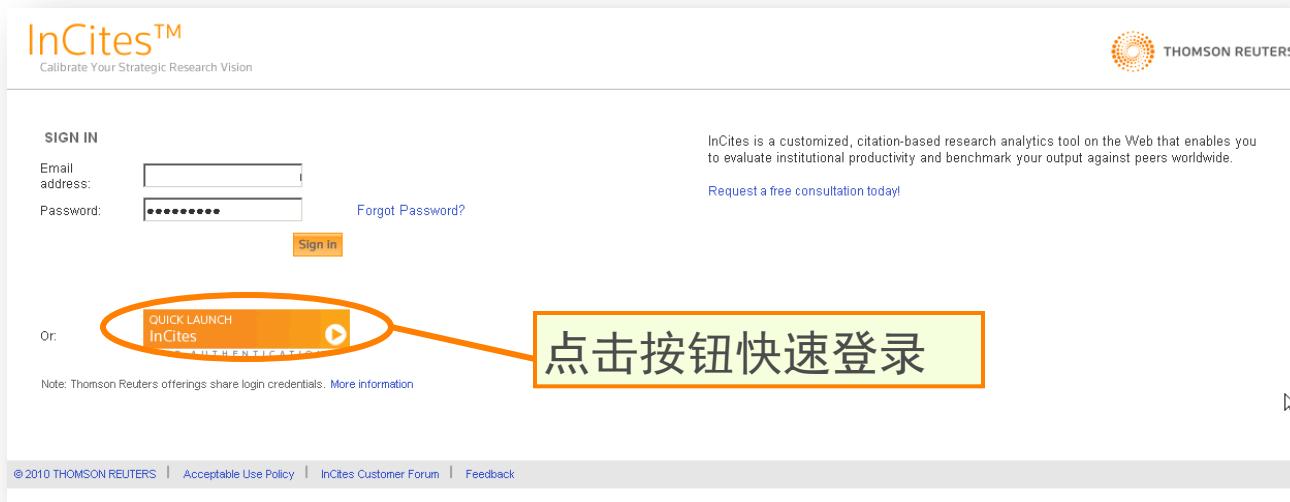
Key indicators of research excellence for leading institutions worldwide

- Examine measures on reputation, funding, publications, staff and students
- Use indicator groups to discover the strengths of comparable institutions

[Get Started](#) >

概览数据—包含机构学术声誉、研究经费、教职工和学生数据(每年更新)

机构用户登录InCites



InCites™
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Thomson Reuters logo

SIGN IN

Email address:

Password: [Forgot Password?](#)

[Sign in](#)

InCites is a customized, citation-based research analytics tool on the Web that enables you to evaluate institutional productivity and benchmark your output against peers worldwide.

[Request a free consultation today!](#)

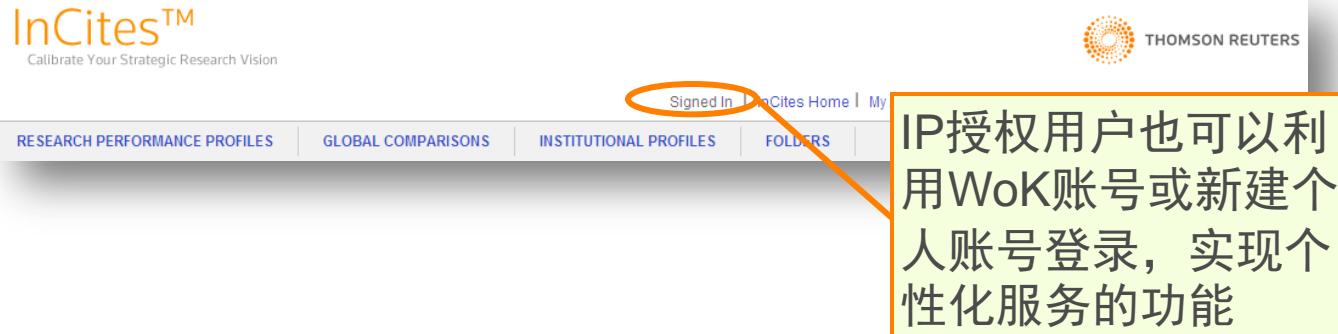
Or: [QUICK LAUNCH InCites AUTHENTICATION](#)

Note: Thomson Reuters offerings share login credentials. [More information](#)

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A yellow callout box with an orange border points from the "QUICK LAUNCH InCites AUTHENTICATION" button to the text "点击按钮快速登录".

点击按钮快速登录



InCites™
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RESEARCH PERFORMANCE PROFILES | GLOBAL COMPARISONS | INSTITUTIONAL PROFILES | FOLDERS

A yellow callout box with an orange border points from the "Signed In" link to the text "IP授权用户也可以利用WoK账号或新建个人账号登录，实现个性化服务的功能".

IP授权用户也可以利用WoK账号或新建个人账号登录，实现个性化服务的功能

RESEARCH PERFORMANCE PROFILES	GLOBAL COMPARISONS	INSTITUTIONAL PROFILES	FOLDERS
Create a Custom Report Overview and Summary Metrics Productivity and Researcher Output Collaboration and Research Networks Specialization and Field Strengths Trends and Time Series Analysis Impact and Citation Rankings	National Comparisons Institutional Comparisons <div style="border: 1px dashed orange; padding: 5px; margin-top: 10px;"> Search evaluation tool on the Web of Science Core Collection. Work your output against peers and institutions. </div>	View an Institutional Profile Create a Research Footprint Create a Trend Graph Create a Scatter Plot	My Saved Reports My Saved Custom Report Selections My Saved Document Collections Shared Reports Shared Custom Report Selections Shared Document Collections
			
			
<p>RESEARCH PERFORMANCE PROFILES</p> <ul style="list-style-type: none"> • 下拉菜单中可以快速的直接选择分析不同的分析内容和角度； • 用户可以保存已经生成的报告和选项，并与其他用户共享，实现协同工作。 			
<p>- Identify field strengths within countries/territories Get Started ></p>			

INSTITUTIONAL PROFILES

Key indicators of research excellence for leading institutions worldwide

- Examine measures on reputation, funding, publications, staff and students
- Use indicator groups to discover the strengths of comparable institutions

[Get Started >](#)



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InCites Customer Forum

Join in or start a user discussion

如何考察合作机构以及合作论文的影响力



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RESEARCH PERFORMANCE PROFILES

GLOBAL COMPARISONS

INSTITUTIONAL PROFILES

FOLDERS

Create a Custom Report

Overview and Summary Metrics

Productivity and Researcher Output

Collaboration and Research Networks

Specialization and Subject Area Strengths

Trends and Time Series Analysis

Impact and Citation Rankings

Various metrics for your institution and collaborating institutions

Collaborating Countries

Collaborating countries ranked by number of total citations

SEARCH NETWORKS

1. 点击Collaboration and Research Networks

Dataset: Japanese Science & Technology Agency

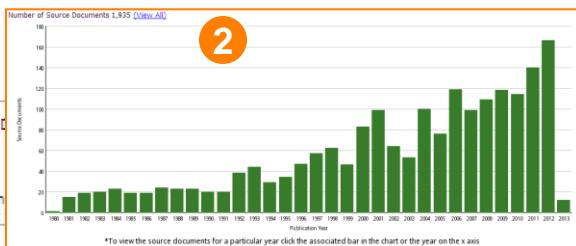
COLLABORATING INSTITUTIONS

Print

Select an export option

Viewing Dataset: Kings College London: Address Search Dataset

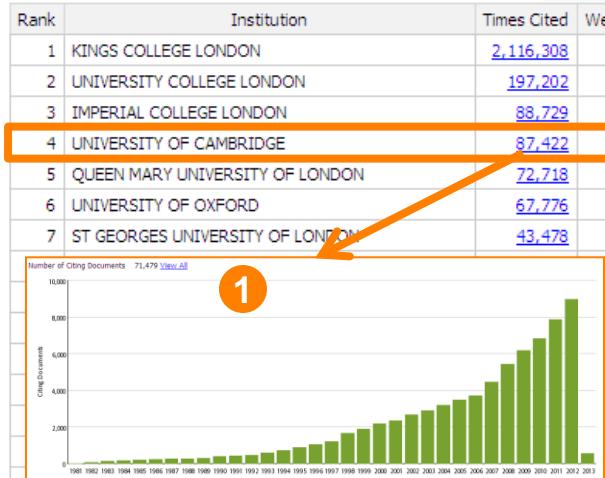
Report Limited To
 Dataset: Kings College London: Address Search D
 Report Type: Collaborating Institutions
 Time Period: 1979-2013
 Additional Information: Cite this report as InCites™, Thomson
 redistribute this data in whole or in part



2

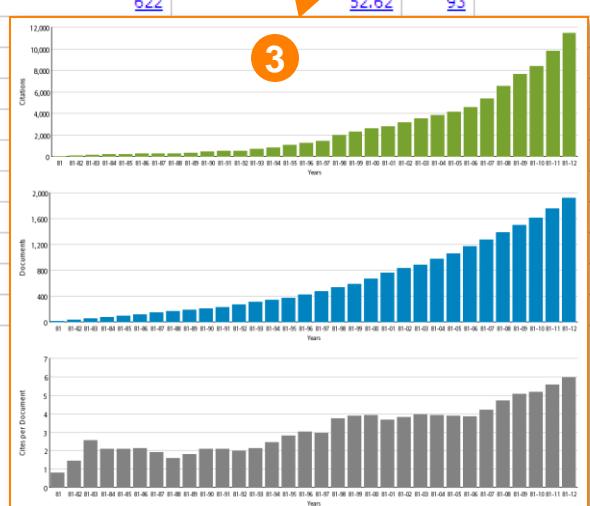
Data Source: Web of Science ® This data is reproduced under a license from Thomson Reuters. You may not copy or
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Institutions 1 - 20 of 20,860



1

Rank	Institution	Times Cited	Web of Science Documents	Average Cites per Document	h-index	Journal Actual/Expected Citations	Category Actual/Expected Citations	Average Percentile
1	KINGS COLLEGE LONDON	2,116,308	109,782	19.28	378	1.18	1.48	43.18
2	UNIVERSITY COLLEGE LONDON	197,202	6,078	32.50	176	1.36	2.00	36.56
3	IMPERIAL COLLEGE LONDON	88,729	3,101	28.61	135	1.23	1.77	38.03
4	UNIVERSITY OF CAMBRIDGE	87,422	1,935	45.18	133	1.72	3.03	31.31
5	QUEEN MARY UNIVERSITY OF LONDON	72,718	2,769	5.26	115	1.31	1.81	40.77
6	UNIVERSITY OF OXFORD	67,776	1,778	38.12	119	1.61	2.77	34.11
7	ST GEORGES UNIVERSITY OF LONDON	43,478	1,535	28.32	96	1.33	1.90	39.23



3

Citation Metrics	Value
Times Cited	87,628
Web of Science Documents	1,155
Cites per Document	45.18
% Documents Cited	80%
h-index	133
Median Cites	13
2nd Generation Citations	2,833,395
2nd Generation Citations per Citing Document	39.64

Disciplinarity Metrics	Value
Disciplinarity Index	0.043
Interdisciplinarity Index	0.71

Collaboration Metrics	Value
Unique Authors	12,961
Average Authors per Document	14.54
Unique Institutions	2,747
Average Institutions per Document	7.22
Average Countries/Territories per Document	2.32

View Citation Frequency Distribution

Citation Metrics

Disciplinarity Metrics

Collaboration Metrics

Percentile Rank of Documents*

Percent of Documents Cited*

Articles above/below Threshold**

Includes document types: Article, Note, Review and Proceedings Paper (from SCOPUS & SCIE)

Includes articles above/below Expected Percentile Threshold + (Percent of Documents LI Percentile Threshold)

Percentile Rank of Authors*

Percent of Authors Cited*

Articles above/below Threshold**

Includes document types: Article, Note, Review and Proceedings Paper (from SCOPUS & SCIE)

Includes articles above/below Expected Percentile Threshold + (Percent of Authors LI Percentile Threshold)

4

RESEARCH PERFORMANCE PROFILES

GLOBAL COMPARISONS

INSTITUTIONAL PROFILES

FOLDERS

点击Create Custom Report, 生成定制化报告

[Create a Custom Report](#)[Overview and Summary Metrics](#)[Productivity and Researcher Output](#)[Collaboration and Research Networks](#)[Specialization and Subject Area Strengths](#)[Trends and Time Series Analysis](#)[Impact and Citation Rankings](#)

ions

(which report to choose?)

Viewing Dataset: Kings College London: Address Search Dataset

Select fields to be included in report:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Times Cited | <input checked="" type="checkbox"/> Web of Science Documents |
| <input checked="" type="checkbox"/> Average Cites per Document | <input checked="" type="checkbox"/> Journal Actual/Expected Citations |
| <input checked="" type="checkbox"/> Category Actual/Expected Citations | <input checked="" type="checkbox"/> Average Percentile |
| <input checked="" type="checkbox"/> h-index* | |

* Selecting these items will increase the processing time
Time Period to
[Create Report](#) [Preview Documents](#) [Save Selections](#) [Clear Selections](#)

You can limit the data to be included in your report to specific items, or use a saved set from [My Saved Selections](#).
 Your selections will appear in the box on the right.

[Authors](#) | [Subject Areas](#) | [Institutions](#) | [Countries / Territories](#) | [Journals](#) | [Titles](#) | [Keywords](#) | [Document Types](#) | [Document Numbers](#) | [Thresholds](#) | [Funding Agencies](#) | [Grant Numbers](#)
[Browse List](#) [Search](#)

Browse AUTHORS beginning with:

[0-9](#) | [A](#) | [B](#) | [C](#) | [D](#) | [E](#) | [F](#) | [G](#) | [H](#) | [I](#) | [J](#) | [K](#) | [L](#) | [M](#) | [N](#) | [O](#) | [P](#) | [Q](#) | [R](#) | [S](#) | [T](#) | [U](#) | [V](#) | [W](#) | [X](#) | [Y](#) | [Z](#)
A Items 1 to 500 of 5274   Go to item: 

Count Add

Authors

 1	A CAMPO, J
 1	A CONSORTIUM
 1	A DIABET OUTCOME PROGRESSION TRIAL
 1	AABY, P
 1	AAGAARD, J
 1	AAGAARD, NK

Selected items: [hide all selections]

INSTITUTION COLLABORATION NETWORK

Limited to papers from Kings College London: Address Search Dataset, 1979-2013. Click to view additional filters.

Institution	Documents
KINGS COLLEGE LONDON	5493
UNIVERSITY COLLEGE LONDON	380
IMPERIAL COLLEGE LONDON	216
QUEEN MARY UNIVERSITY OF LONDON	135
UNIVERSITY OF OXFORD	124
UNIVERSITY OF CAMBRIDGE	106
CARDIFF UNIVERSITY	84
NATL INST MED RES	67
ST GEORGES UNIVERSITY OF LONDON	66
INSTITUTE OF CANCER RESEARCH	56
UNIVERSITY OF LEICESTER	56
NATL UNIV SINGAPORE	55
BIRKBECK UNIVERSITY OF LONDON	53

Showing 1 to 50 of 2,266 institutions



1. 将鼠标放在某个合作机构节点上

Top 1-20 of 2,260 collaborators

Previous
20

Next
20

Average Cites per Document

25.5 71.3 117.2

节点大小代表篇均被引频次

Average Percentile

47.1 34.3 21.5

节点颜色深浅代表平均百分位

Number of collaborations

34 207 379



节点之间的连线粗细代表合作论文数量

84 collaborations between CARDIFF UNIVERSITY & KINGS COLLEGE LONDON in dataset "Kings College London: Address Search Dataset"

Average Cites per Document: 39.18

Category Actual/Expected Citations: 1.73

h-Index*: 31

Journal Actual/Expected Citations: 1.33

Average Percentile: 26.44

Times Cited: 3291

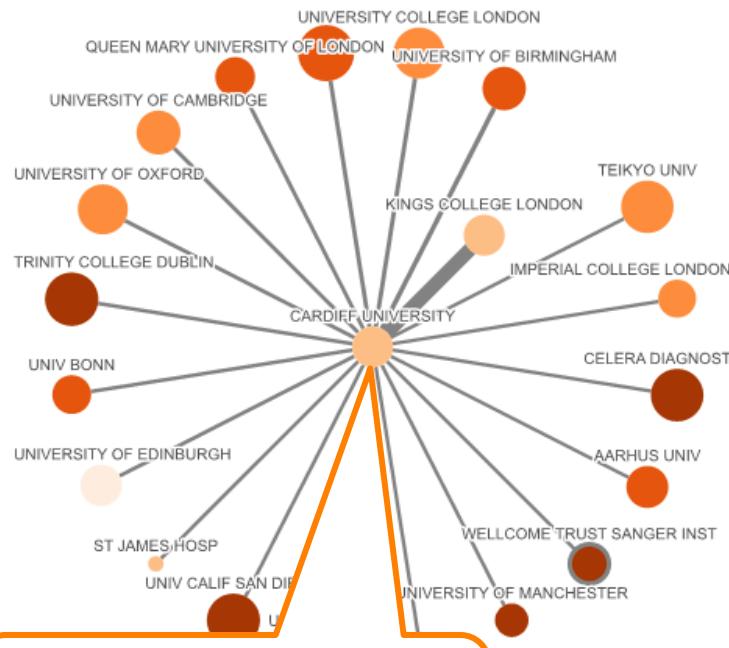
2. 当前查看合作机构的合作论文分析指标

INSTITUTION COLLABORATION NETWORK

Limited to papers from Kings College London: Address Search Dataset, 1979-2013. Click to view additional filters.

Institution	Web of Science Documents
KINGS COLLEGE LONDON	5493
UNIVERSITY COLLEGE LONDON	380
IMPERIAL COLLEGE LONDON	216
QUEEN MARY UNIVERSITY OF LONDON	135
UNIVERSITY OF OXFORD	124
UNIVERSITY OF CAMBRIDGE	106
CARDIFF UNIVERSITY	84
NATL INST MED RES	67
ST GEORGES UNIVERSITY OF LONDON	66
INSTITUTE OF CANCER RESEARCH	56
UNIVERSITY OF LEICESTER	56
NATL UNIV SINGAPORE	55
BIRKBECK UNIVERSITY OF LONDON	53

Showing 1 to 50 of 2,266 institutions



进一步查看与Kings College London和Cardiff University共同合作的机构

Top 1-20 of 236 collaborators

Previous 20 Next 20

Average Cites per Document

7.8 39.5 71.2

Average Percentile

40.1 22.3 4.4

Number of collaborations

3 44 84

PDF

CSV

4 collaborations between WELLCOME TRUST SANGER INST & CARDIFF UNIVERSITY in dataset "Kings College London: Address Search Dataset"

Average Cites per Document: 36.00 Category Actual/Expected Citations: 5.21 h-Index*: 4

Journal Actual/Expected Citations: 2.28 Average Percentile: 8.73 Times Cited: 144

如何定量分析国际合作对机构发展的贡献



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RESEARCH PERFORMANCE PROFILES **GLOBAL COMPARISONS** **INSTITUTIONAL PROFILES** **FOLDERS**

GLOBAL COMPARISONS

National Comparisons
Institutional Comparisons

CREATE AN INSTITUTIONAL COMPARISON REPORT

Select any combination of data to be included in your report, or use a saved set from My Saved Selections.
Your selections will appear in the box on the right.

1. 选择Institutional Comparisons子模块进行机构分析和对比

Comparison **Institution** **Subject Area**

Select up to 200 Institutions and subject areas for publication and citation information.

2. 浏览机构组别

Institutions/Groups

Select a group ...

- CHINA MAINLAND: 985 UNIV**
- CHINA MAINLAND: C9
- COLOMBIA
- COSTA RICA
- CROATIA
- CZECH REPUBLIC
- DENMARK
- EGYPT
- ESTONIA
- FINLAND

3. 添加需分析机构

... to view / select one or more of its institutions.

- + TIANJIN UNIV
- + TONGJI UNIV
- + TSING HUA UNIV
- + UNIV ELECT SCI & TECHNOL CHINA
- + UNIV SCI & TECHNOL CHINA
- + WUHAN UNIV
- + XIAMEN UNIV
- + XIAN JIAOTONG UNIV

Selected items:

Institutions: XX UNIV

Time Period: 2002-2011

Subject Area Schemes

Select a scheme ...

Australia ERA 2012 FOR Level 1 (23 Broad categories 2 digit codes)
Australia ERA 2012 FOR Level 2 (150 Narrow categories 4 digit codes)
China SCADC Subject Categories (12 Broad level by 2 digit codes)
China SCADC Subject Categories (77 Narrow level by 4 digit codes)
Essential Science Indicators: 22 Subject Areas
FAPESP (BRAZIL)
OECD : Frascati Fields of Science
UK RAE 2008 Units of Assessment (65 categories)
Web of Science: 249 Subject Areas

4. 选择分析时间段

Time Period

From to (individual years)

From to (cumulative)

In 5-year groupings

5. 生成报告

Create Report **Save Selections** **Clear Selections**

[Save](#)[Print](#)
[Select an export option](#) ▾

选择同时显示总体论文
和国际合作论文

Show:

[All Documents and Documents with Intl Collaboration](#) ▾

[All Documents](#)
[All Documents and Documents with Intl Collaboration](#)
[Documents with Intl Collaboration Only](#)
[View Graph](#)

		Web of Science Documents			Times Cited			Cites per Document (Impact)		% Documents Cited		Impact Related			
Institution	Years	Total	Intl Only	% Intl	Total	Intl Only	% Intl	Total	Intl	Total	Intl	Total	Intl	Total	Intl
G UNIV	2002	2,279	426	18.69%	24,978	6,956	27.85%	10.96	16.33	82.76	91.78	0.55	0.82	0.29	0.05
G UNIV	2003	2,708	488	18.02%	28,964	7,098	24.51%	10.70	14.55	82.24	88.52	0.58	0.79	0.31	0.06
G UNIV	2004	2,899	529	18.25%	27,510	7,322	26.62%	9.49	13.84	78.20	87.33	0.56	0.82	0.34	0.06
G UNIV	2005	3,715	648	17.44%	34,021	8,211	24.14%	9.16	12.67	75.83	85.49	0.62	0.86	0.38	0.07
G UNIV	2006	3,640	717	19.70%	33,280	9,118	27.40%	9.14	12.72	78.02	85.91	0.74	1.03	0.37	0.07
G UNIV	2007	3,396	684	20.14%	27,538	7,741	28.11%	8.11	11.32	81.57	89.91	0.78	1.09	0.34	0.07
G UNIV	2008	3,844	823	21.41%	26,299	7,669	29.16%	6.84	9.32	79.92	86.88	0.92	1.25	0.33	0.07
G UNIV	2009	4,191	1,030	24.58%	19,554	6,096	31.18%	4.67	5.92	75.40	79.22	0.96	1.21	0.35	0.09
G UNIV	2010	4,182	1,068	25.54%	10,495	3,596	34.26%	2.51	3.37	58.06	64.14	1.05	1.41	0.35	0.09
G UNIV	2011	4,586	1,323	28.85%	1,909	731	38.29%	0.42	0.55	19.54	24.19	0.95	1.26	0.36	0.10

COMPARE INSTITUTIONS 2002-2011

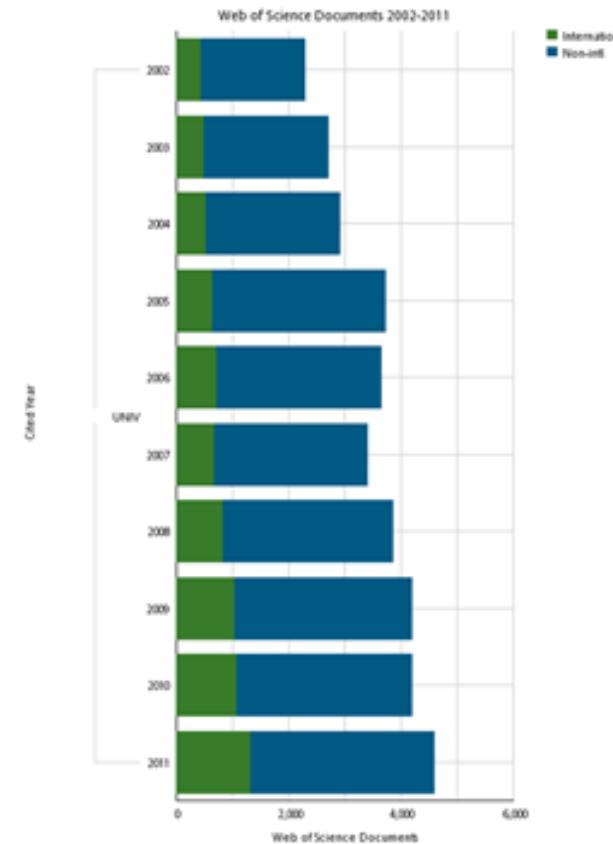
Report Limited To:

Dataset:
Report Name:
Time Period:
Institutions:
Additional Information:

Global Comparisons
Compare Institutions
2002-2011
TENIND-HUKE UNIV
Cite this report as InCites™, Thomson Reuters (2012). Report Created: May 17, 2012 Data Processed: Dec 31, 2011 Data Source: Web of Science®
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选择显示国际合作论文
与非国际合作论文的堆积柱状图

[View Tabular Data](#)

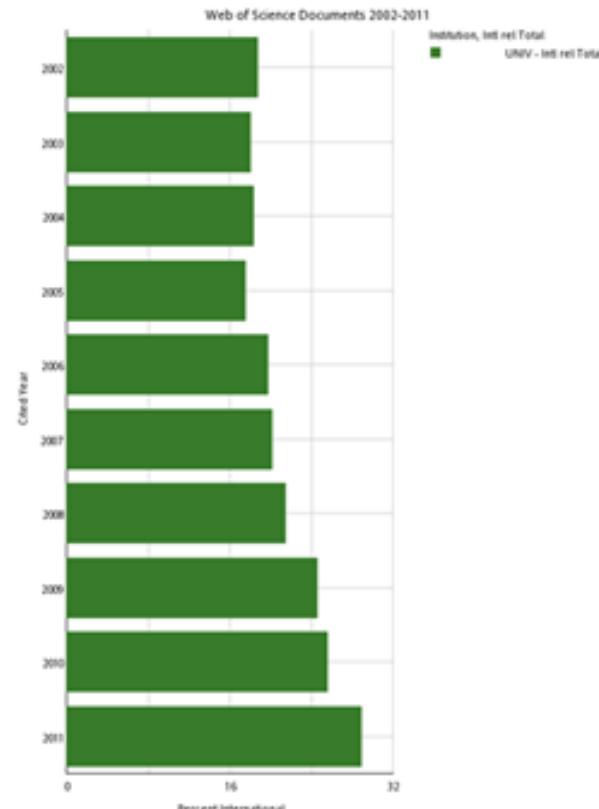
may not copy or redistribute this data in

NI

Share of Int'l Collaboration
Share of Int'l Collaboration
Percent with Int'l Collaboration
Int'l Collaboration Only
All
All and Int'l Collaboration

选择显示国际合作论文
占总体论文的百分比

Show:
Percent with Int'l Collaboration
Share of Int'l Collaboration
Percent with Int'l Collaboration
Int'l Collaboration Only
All
All and Int'l Collaboration

[View Tabular Data](#)

COMPARE INSTITUTIONS 2002-2011

Report Limited To

Dataset:

Global Comparisons

Report Name:

Compare Institutions

Time Period:

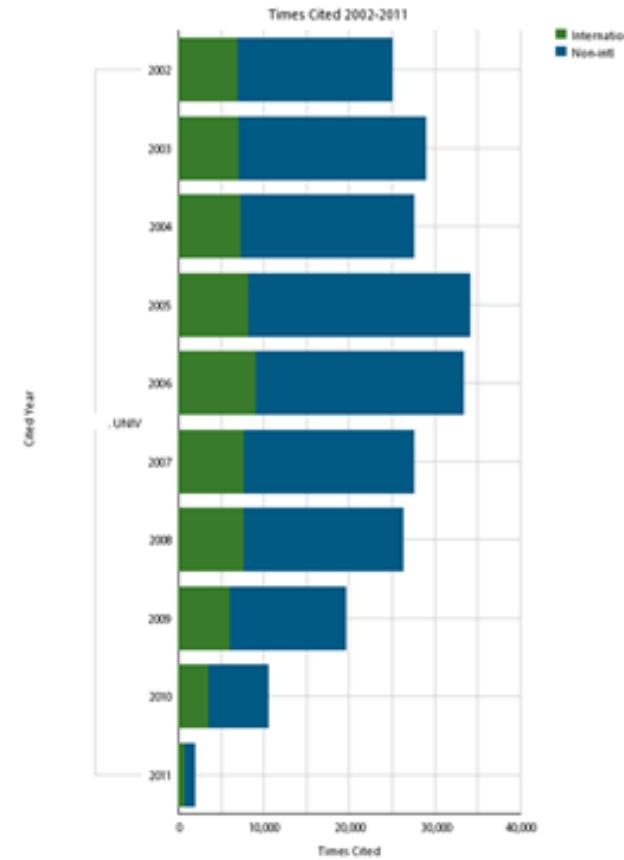
2002-2011

Institutions:

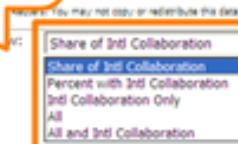
UNIV

Additional Information:

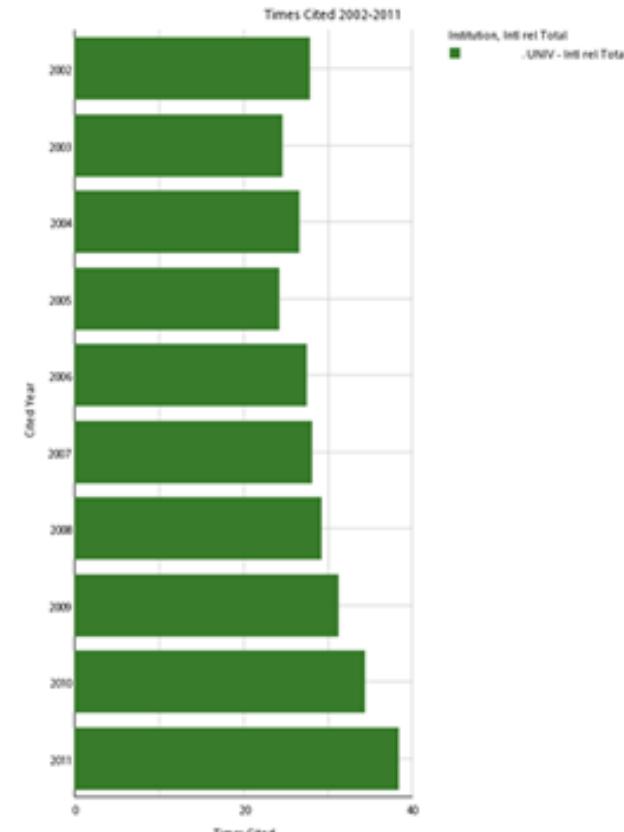
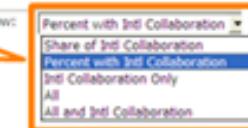
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[View Tabular Data](#)

选择显示国际合作论文
与非国际合作论文的堆
积柱状图



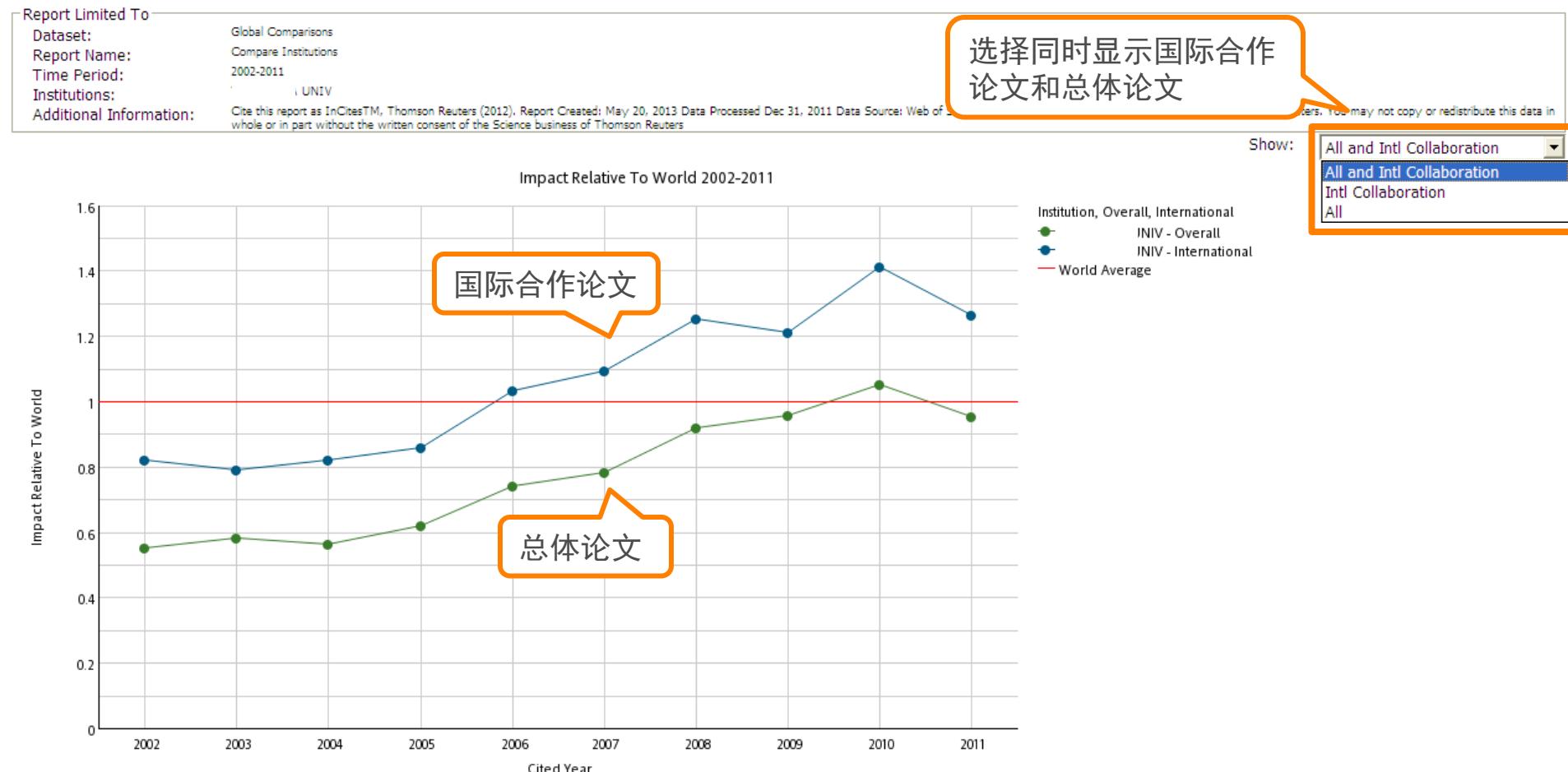
选择显示国际合作论文
占总体论文的百分比

[View Tabular Data](#)

[Save](#)[Print](#)

Select an export option ▾

COMPARE INSTITUTIONS 2002-2011

[View Tabular Data](#)

小结

- **定制化分析报告**(Custom Report)能够建立动态的合作网络图，以直观、多指标方式揭示合作研究成果的影响力和合作效率，为评估和筛选合作对象提供依据。
- **机构对比数据**(Institutional Comparison)能够区分国际合作论文和总体论文，从而量化国际合作论文对机构研究影响力的贡献，为推动国际合作相关政策实施提供客观依据。

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2013年汤森路透InCites在线网络研讨会

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本系列研讨将于6月4日开始，届时将邀请来自江南大学和中科院文献情报中心的老师与大家分享如何利用InCites分析工具生成学科及宏观的分析报告，课程包括：**1. 总体绩效分析 6月4日 15:00-16:00，2. 学科规划建设 6月13日 15:00-16:30、3. 人员/团队评估 6月25日 15:00-16:00，4. 国际合作 7月2日 15:00-16:30。**具体课程信息请参见通知。

课程安排 & 在线注册

- * WebEx、PPT、以及视频课件在该课程结束次日供下载或在线观看
- * 听课密码：InCites

课程名称	如何分析和跟踪机构总体绩效并进行对比分析
时 间	6月4日 15:00-16:00
内 容 简 介	<ol style="list-style-type: none">1. 把握机构总体学术表现和全球定位2. 发现机构自身所处的发展阶段，形成具有自身特色的发展模式3. 以全球基准为标尺，客观判断并跟踪机构学术影响力水平 <p>*对应《InCites用户指导手册》Tips1. 2. 10. 11</p>
授课讲师	汤森路透咨询师 马楠
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主讲教师简介

马楠
2008年2月加入汤森路透，现任汤森路透咨询服务部咨询师。2007年获北京航空航天大学管理学博士学位，曾经参与国家自然科学基金项目《信息科学部基础性研究的创新性评价与激励机制研究》和《我国原始创新能力的测度研究与国际比较》，并在SCI检索的国际学术期刊上发表学术论文6篇。主要研究方向为科学计量学、科研评估与绩效分析。
顾烽青



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