

2013年汤森路透InCites在线网络研讨会

如何考察合作机构及国际合作对机构发展的贡献

马楠 博士

汤森路透

2013年7月2日



THOMSON REUTERS
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机构研究绩效评估和学科分析需求

- 对研究绩效进行评估和标杆管理
 - 评估研究产出和影响力，分析并跟踪研究发展趋势；
 - 与全球同类研究机构进行标杆比较；
- 重点学科/优势学科定位与发展
 - 揭示优势学科分布以及发展趋势；
 - 推动潜力学科快速发展；
- 精确定位研究人才
 - 综合评估本机构具有潜力的研究人才；
 - 为机构吸引外部高端研究人才；
- 鼓励并倡导合作研究
 - 评估高效的合作伙伴；



InCites—机构研究绩效综合分析工具

- 来自于 Web of Science 的**30+**年数据，提供**8**种学科分类体系；
- 基于**全球基准数据**，深入分析机构单篇论文、作者、学科、科研合作及研究影响力
- 对全球**170**多个国家与地区的**3,000**多所研究机构的产出和影响力进行多角度比较与分析

全球权威
学术信息

主流文献
计量方法



InCites的构成

<http://incites.isiknowledge.com>

InCites™

Calibrate Your Strategic Research Vision



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RESEARCH PERFORMANCE PROFILES

GLOBAL COMPARISONS

INSTITUTIONAL PROFILES

FOLDERS

CALIBRATE YOUR STRATEGIC RESEARCH VISION

InCites is a customized, citation-based research evaluation tool on the Web that enables you to analyze institutional productivity and benchmark your output against peers worldwide.

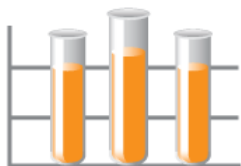
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[Visit the website](#)

Training and Education Resources



RESEARCH PERFORMANCE PROFILES

Comprehensive Publication & Citation Reports

- Pinpoint influential and emerging researchers
- Monitor collaboration activity

[Get Started](#) ➤

定制数据—机构、个人、主题、期刊的论文数据，能够进行多指标的深入分析(每季度更新)



GLOBAL COMPARISONS

Output & Impact Statistics for Benchmarking

- Compare your institution to others worldwide
- Identify field strengths within countries/territories

[Get Started](#) ➤

预置数据—可以进行国家/地区和机构的多指标对比分析（每年更新）



INSTITUTIONAL PROFILES

Key indicators of research excellence for leading institutions worldwide

- Examine measures on reputation, funding, publications, staff and students
- Use indicator groups to discover the strengths of comparable institutions

[Get Started](#) ➤

概览数据—包含机构学术声誉、研究经费、教职工和学生数据（每年更新）

机构用户登录InCites

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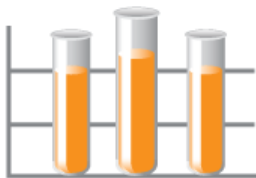
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[RESEARCH PERFORMANCE PROFILES](#) | [GLOBAL COMPARISONS](#) | [INSTITUTIONAL PROFILES](#) | [FOLDERS](#)


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RESEARCH PERFORMANCE PROFILES	GLOBAL COMPARISONS	INSTITUTIONAL PROFILES	FOLDERS
Create a Custom Report Overview and Summary Metrics Productivity and Researcher Output Collaboration and Research Networks Specialization and Field Strengths Trends and Time Series Analysis Impact and Citation Rankings	National Comparisons Institutional Comparisons	View an Institutional Profile Create a Research Footprint Create a Trend Graph Create a Scatter Plot	My Saved Reports My Saved Custom Report Selections My Saved Document Collections Shared Reports Shared Custom Report Selections Shared Document Collections



RESEARCH PERFORMANCE PROFILES

- 下拉菜单中可以快速的直接选择分析不同的分析内容和角度；
- 用户可以保存已经生成的报告和选项，并与其他用户共享，实现协同工作。





INSTITUTIONAL PROFILES

Key indicators of research excellence for leading institutions worldwide

- Examine measures on reputation, funding, publications, staff and students
- Use indicator groups to discover the strengths of comparable institutions

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如何考察合作机构以及合作论文的影响力





RESEARCH PERFORMANCE PROFILES

GLOBAL COMPARISONS

INSTITUTIONAL PROFILES

FOLDERS

[Create a Custom Report](#)

[Overview and Summary Metrics](#)

[Productivity and Researcher Output](#)

[Collaboration and Research Networks](#)

[Specialization and Subject Area Strengths](#)

[Trends and Time Series Analysis](#)

[Impact and Citation Rankings](#)

RESEARCH NETWORKS

the report for th

1. 点击Collaboration and Research Networks

Dataset: Japanese Science & Technology Agency

Various metrics for your institution and collaborating institutions

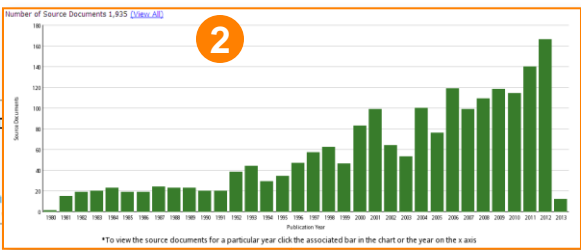
Collaborating Countries

Collaborating countries ranked by number of total citations



COLLABORATING INSTITUTIONS

Report Limited To
Dataset: Kings College London: Address Search
Report Type: Collaborating Institutions
Time Period: 1979-2013
Additional Information: Cite this report as InCites™, Thomson
redistributes this data in whole or in part

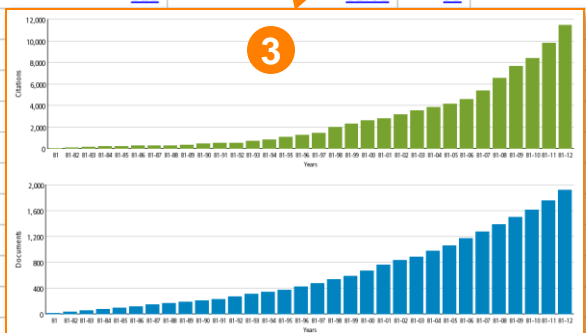
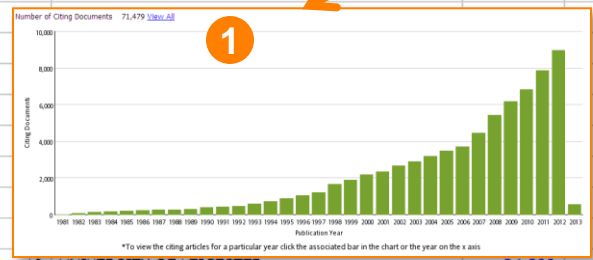


Print Select an export option
Viewing Dataset: Kings College London: Address Search Dataset

Data Source: Web of Science ® This data is reproduced under a license from Thomson Reuters. You may not copy or
line data processed Jan. 1, 1981->Dec. 31, 2012

Institutions 1 - 20 of 20,860

Rank	Institution	Times Cited	Web of Science Documents	Average Cites per Document	h-index	Journal Actual/Expected Citations	Category Actual/Expected Citations	Average Percentile
1	KINGS COLLEGE LONDON	2,116,308	109,782	19.28	378	1.18	1.48	43.18
2	UNIVERSITY COLLEGE LONDON	197,202	6,018	32.50	176	1.36	2.00	36.56
3	IMPERIAL COLLEGE LONDON	88,729	3,401	28.61	135	1.23	1.77	38.03
4	UNIVERSITY OF CAMBRIDGE	87,422	1,935	45.18	133	1.72	3.03	31.31
5	QUEEN MARY UNIVERSITY OF LONDON	72,718	2,769	5.26	115	1.31	2.81	40.77
6	UNIVERSITY OF OXFORD	67,776	1,778	38.12	119	1.61	2.77	34.11
7	ST GEORGES UNIVERSITY OF LONDON	43,478	1,535	28.32	96	1.33	1.90	39.23



Citation Metrics

- Times Cited: 87,422
- Web of Science Documents: 1,935
- Cites per Document: 45.18
- % Documents Cited: 80%
- h-index: 133
- Median Cites: 13
- 2nd Generation Citations: 2,833,295
- 2nd Generation Citations per Citing Document: 39.64

Disciplinary Metrics

- Disciplinary Index: 0.043
- Interdisciplinary Index: 0.71

Collaboration Metrics

- Unique Authors: 12,861
- Average Authors per Document: 14.54
- Unique Institutions: 2,747
- Average Institutions per Document: 7.22
- Average Countries/Territories per Document: 2.32

View Citation Frequency Distribution

Category actual - Expected Cites 3.03 Journal actual - Expected Cites 1.72 Average Percentile 31.31

Percentile Threshold

Percentile	1	5	10	25	50
Number of Documents	128	524	498	810	1150
Percent of Documents	6.23%	21.16%	22.45%	33.44%	57.87%

Articles distribution: Threshold 7.22% 10.16% 22.45% 33.44% 57.87%

Includes document types: Article, Note, Review and Proceedings Paper (from SCIE, SSCI, A&P)

Percentage articles: article/total Expected Percentile Threshold = (Percent of Documents / Percentile Threshold)

RESEARCH PERFORMANCE PROFILES

GLOBAL COMPARISONS

INSTITUTIONAL PROFILES

FOLDERS

Create a Custom Report

Overview and Summary Metrics

Productivity and Researcher Output

Collaboration and Research Networks

Specialization and Subject Area Strengths

Trends and Time Series Analysis

Impact and Citation Rankings

点击Create Custom Report, 生成定制化报告

Viewing Dataset: Kings College London: Address Search Dataset

ions (which report to choose?)

Select fields to be included in report:

- ☒ Times Cited
- ☒ Average Cites per Document
- ☒ Category Actual/Expected Citations
- ☒ h-index*
- ☒ Web of Science Documents
- ☒ Journal Actual/Expected Citations
- ☒ Average Percentile

* Selecting these items will increase the processing time

Time Period 1979 to 2013

Create Report

Preview Documents

Save Selections

Clear Selections

You can limit the data to be included in your report to specific items, or use a saved set from My Saved Selections. Your selections will appear in the box on the right.

Authors | Subject Areas | Institutions | Countries / Territories | Journals | Titles | Keywords | Document Types | Document Numbers | Thresholds | Funding Agencies | Grant Numbers

Browse List

Search

Browse AUTHORS beginning with:

0-9 | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z

A Items 1 to 500 of 5274 Go to item: 1

Count Add

Authors

- 1 + A CAMPO, J
- 1 + A CONSORTIUM
- 1 + A DIABET OUTCOME PROGRESSION TRIAL
- 1 + AABY, P
- 1 + AAGAARD, J
- 1 + AAGAARD, NK

Selected items: [hide all selections]

CREATE A CUSTOM REPORT

Select a:

Report Type **Ego Network -- Institutions** (which report to choose?)

1 Year Cited by All Subsequent Years
1 Year Citing All Prior Years Cumulative
Article Type Ranking
Article Type Ranking (citing article set)
Author Ranking
Author Ranking (citing article set)
Author Ranking with Self Citation Analysis
Citation Frequency Distribution
Citations Per Year
Citing Articles Per Year
Collaborating Authors
Collaborating Countries
Collaborating Institutions
Country Ranking
Country Ranking (citing article set)
Ego Network -- Authors
Ego Network -- Institutions
Funding Agencies Listing
Institution Ranking
Institution Ranking (citing article set)
Journal Ranking
Journal Ranking (citing article set)
Keyword Ranking
Source Articles Listing
Source Articles Per Year
Subject Area Ranking
Subject Area Ranking (citing article set)
Summary Metrics

Time Period
Collaborating Authors
Collaborating Countries
Collaborating Institutions
Country Ranking

Create Report

You can limit th

Your selections

Authors

Browse

Br

Count Add

Subject Areas

536	+	ACOUSTICS
23	+	AGRICULTURAL ECONOMICS & POLICY
13	+	AGRICULTURAL ENGINEERING
17	+	AGRICULTURE, DAIRY & ANIMAL SCIENCE
51	+	AGRICULTURE, MULTIDISCIPLINARY
43	+	AGRONOMY

Territories | Journals | Titles | Keywords | Document Types | Document Numbers | Thresholds | Funding Agencies | Grant Numbers

1. 点击报告Ego Network - Institution

Selected items: [hide all selections]

Subject Areas: [hide]

✗ BIOCHEMISTRY & MOLECULAR BIOLOGY

2. 限定在Biochemistry & Molecular Biology学科领域

Create Report

Preview Documents

Save Selections

Clear Selections

3. 点击Create Report



THO
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INSTITUTION COLLABORATION NETWORK

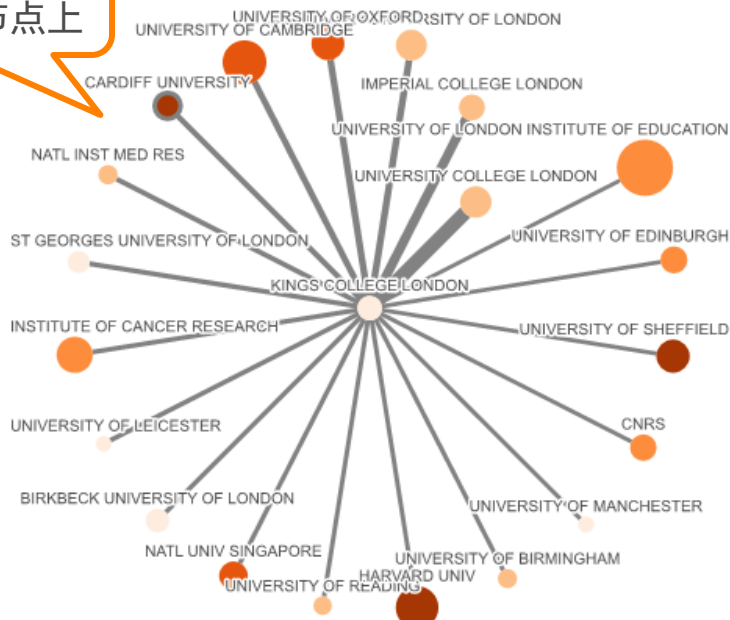
Limited to papers from Kings College London: Address Search Dataset, 1979-2013. Click to view additional filters.

type to filter table

1. 将鼠标放在某个合作机构节点上

Institution	Documents
KINGS COLLEGE LONDON	5493
UNIVERSITY COLLEGE LONDON	380
IMPERIAL COLLEGE LONDON	216
QUEEN MARY UNIVERSITY OF LONDON	135
UNIVERSITY OF OXFORD	124
UNIVERSITY OF CAMBRIDGE	106
CARDIFF UNIVERSITY	84
NATL INST MED RES	67
ST GEORGES UNIVERSITY OF LONDON	66
INSTITUTE OF CANCER RESEARCH	66
UNIVERSITY OF LEICESTER	66
NATL UNIV SINGAPORE	65
BIRKBECK UNIVERSITY OF LONDON	63

Showing 1 to 50 of 2,266 institutions



Top 1-20 of 2,260 collaborators

Previous 20 Next 20

Average Cites per Docu



节点大小代表篇均被引频次

Average Percentile



节点颜色深浅代表平均百分位

Number of collaborations



节点之间的连线粗细代表合作论文数量



84 collaborations between CARDIFF UNIVERSITY & KINGS COLLEGE LONDON in dataset "Kings College London: Address Search Dataset"

Average Cites per Document: 39.18 Category Actual/Expected Citations: 1.73 h-Index*: 31

Journal Actual/Expected Citations: 1.33 Average Percentile: 26.44 Times Cited: 3291

2. 当前查看合作机构的合作论文分析指标

INSTITUTION COLLABORATION NETWORK

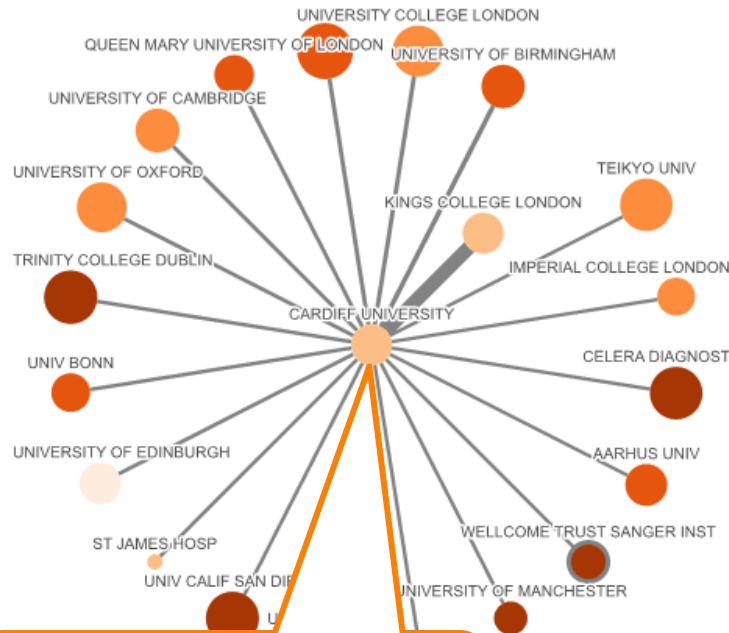
Limited to papers from Kings College London: Address Search Dataset, 1979-2013. Click to view additional filters.

type to filter table rows

Web of Science Documents

Institution	Documents
KINGS COLLEGE LONDON	5493
UNIVERSITY COLLEGE LONDON	380
IMPERIAL COLLEGE LONDON	216
QUEEN MARY UNIVERSITY OF LONDON	135
UNIVERSITY OF OXFORD	124
UNIVERSITY OF CAMBRIDGE	106
CARDIFF UNIVERSITY	84
NATL INST MED RES	67
ST GEORGES UNIVERSITY OF LONDON	66
INSTITUTE OF CANCER RESEARCH	56
UNIVERSITY OF LEICESTER	56
NATL UNIV SINGAPORE	55
BIRKBECK UNIVERSITY OF LONDON	53

Showing 1 to 50 of 2,266 institutions



进一步查看与Kings College London和Cardiff University 共同合作的机构

Top 1-20 of 236 collaborators

Previous 20 Next 20

Average Cites per Docu



Average Percentile



Number of collaborations



4 collaborations between WELLCOME TRUST SANGER INST & CARDIFF UNIVERSITY in dataset "Kings College London: Address Search Dataset"

Average Cites per Document: 36.00 Category Actual/Expected Citations: 5.21 h-Index*: 4

Journal Actual/Expected Citations: 2.28 Average Percentile: 8.73 Times Cited: 144

如何定量分析国际合作对机构发展的贡献



RESEARCH PERFORMANCE PROFILES GLOBAL COMPARISONS INSTITUTIONAL PROFILES FOLDERS

GLOBAL COMPARISONS

[National Comparisons](#)

[Institutional Comparisons](#)

1. 选择Institutional Comparisons子模块进行机构分析和对比

CREATE AN INSTITUTIONAL COMPARISON REPORT

Select any combination of data to be included in your report, or use a saved set from [My Saved Selections](#).
Your selections will appear in the box on the right.

Comparison Institution Subject Area

Select up to 200 Institutions and subject areas to compare publication and citation information.

Institutions/Group

2. 浏览机构组别

Select a group ...

- CHINA MAINLAND: 985 UNIV
- CHINA MAINLAND: C9
- COLOMBIA
- COSTA RICA
- CROATIA
- CZECH REPUBLIC
- DENMARK
- EGYPT
- ESTONIA
- FINLAND

3. 添加需分析机构

... to view / select one or more of its institutions.

- TIANJIN UNIV
- TONGJI UNIV
- TSING HUA UNIV
- UNIV ELECT SCI & TECHNOL CHINA
- UNIV SCI & TECHNOL CHINA
- WUHAN UNIV
- XIAMEN UNIV
- XIAN JIAOTONG UNIV

Selected items:

Institutions:

XX UNIV

Time Period: 2002-2011

Subject Area Schemes

Select a scheme ...

... to view / select one or more of its subject areas.

- Australia ERA 2012 FOR Level 1 (23 Broad categories 2 digit codes)
- Australia ERA 2012 FOR Level 2 (150 Narrow categories 4 digit codes)
- China SCADC Subject Categories (12 Broad level by 2 digit codes)
- China SCADC Subject Categories (77 Narrow level by 4 digit codes)
- Essential Science Indicators: 22 Subject Areas
- FAPESP (BRAZIL)
- OECD : Frascati Fields of Science
- UK RAE 2008 Units of Assessment (65 categories)
- Web of Science: 249 Subject Areas

Time Period

☒ From 2002 to 2011 (individual years)

☐ From 2007 to 2011 (cumulative)

☐ In 5-year groupings

4. 选择分析时间段

5. 生成报告

Create Report

Save Selections

Clear Selections

Save Print Select an export option

COMPARE INSTITUTIONS 2002-2011

Report Limited To

Dataset: Global Comparisons
Report Name: Compare Institutions
Time Period: 2002-2011
Institutions: TSING HUA UNIV
Additional Information: Cite this report as InCites™, Thomson Reuters (2012). Report Created: May 17, 2013 Data Processed Dec 31, 2011 Data Source: Web of Science® This data is reproduced under a license with Thomson Reuters. You may not copy or redistribute this data in whole or in part without the written consent of the Science business of Thomson Reuters

选择同时显示总体论文
和国际合作论文

Show: All Documents and Documents with Intl Collaboration
All Documents
All Documents and Documents with Intl Collaboration
Documents with Intl Collaboration Only

Institution	Years	Web of Science Documents View Graph			Times Cited View Graph			Cites per Document (Impact) View Graph		% Documents Cited View Graph		Impact Relat View Graph		View Graph	
		Total	Intl Only	% Intl	Total	Intl Only	% Intl	Total	Intl	Total	Intl	Total	Intl	Total	Intl
G UNIV	2002	2,279	426	18.69%	24,978	6,956	27.85%	10.96	16.33	82.76	91.78	0.55	0.82	0.29	1.04
G UNIV	2003	2,708	488	18.02%	28,964	7,098	24.51%	10.70	14.55	82.24	88.52	0.58	0.79	0.31	1.00
G UNIV	2004	2,899	529	18.25%	27,510	7,322	26.62%	9.49	13.84	78.20	87.33	0.56	0.82	0.34	1.00
G UNIV	2005	3,715	648	17.44%	34,021	8,211	24.14%	9.16	12.67	75.83	85.49	0.62	0.86	0.38	0.98
G UNIV	2006	3,640	717	19.70%	33,280	9,118	27.40%	9.14	12.72	78.02	85.91	0.74	1.03	0.37	1.00
G UNIV	2007	3,396	684	20.14%	27,538	7,741	28.11%	8.11	11.32	81.57	89.91	0.78	1.09	0.34	1.05
G UNIV	2008	3,844	823	21.41%	26,299	7,669	29.16%	6.84	9.32	79.92	86.88	0.92	1.25	0.33	1.08
G UNIV	2009	4,191	1,030	24.58%	19,554	6,096	31.18%	4.67	5.92	75.40	79.22	0.96	1.21	0.35	1.06
G UNIV	2010	4,182	1,068	25.54%	10,495	3,596	34.26%	2.51	3.37	58.06	64.14	1.05	1.41	0.35	1.07
G UNIV	2011	4,586	1,323	28.85%	1,909	731	38.29%	0.42	0.55	19.54	24.19	0.95	1.26	0.36	1.09

COMPARE INSTITUTIONS 2002-2011

Report Limited To:

Dataset:

Report Name:

Time Period:

Institutions:

Additional Information:

Global Comparisons

Compare Institutions

2002-2011

F5000 High UNIV

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选择显示国际合作论文
与非国际合作论文的堆
积柱状图

Select an export option ▼

You may not copy or redistribute this data in

Share of Intl Collaboration

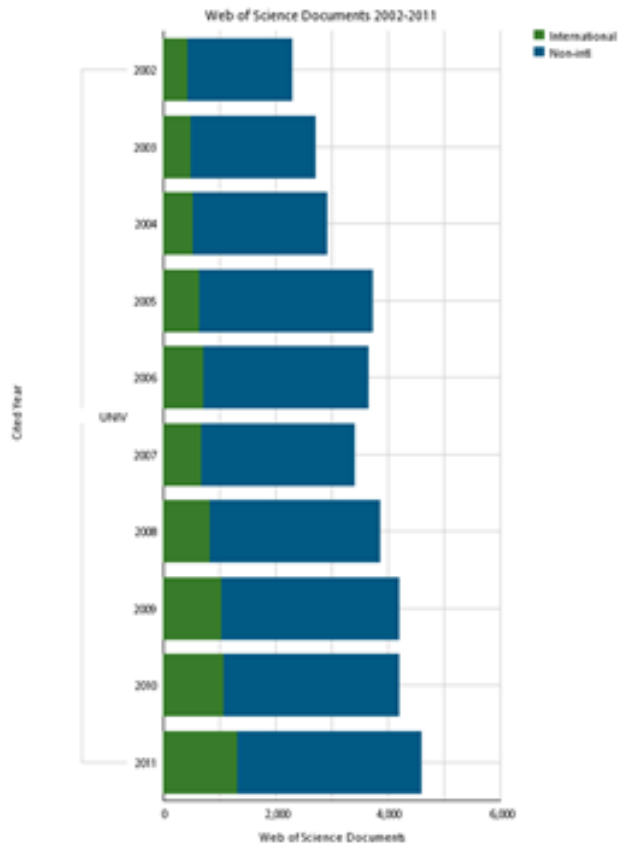
Share of Intl Collaboration

Percent with Intl Collaboration

Intl Collaboration Only

All

All and Intl Collaboration



选择显示国际合作论文
占总体论文的百分比

Show:

Percent with Intl Collaboration

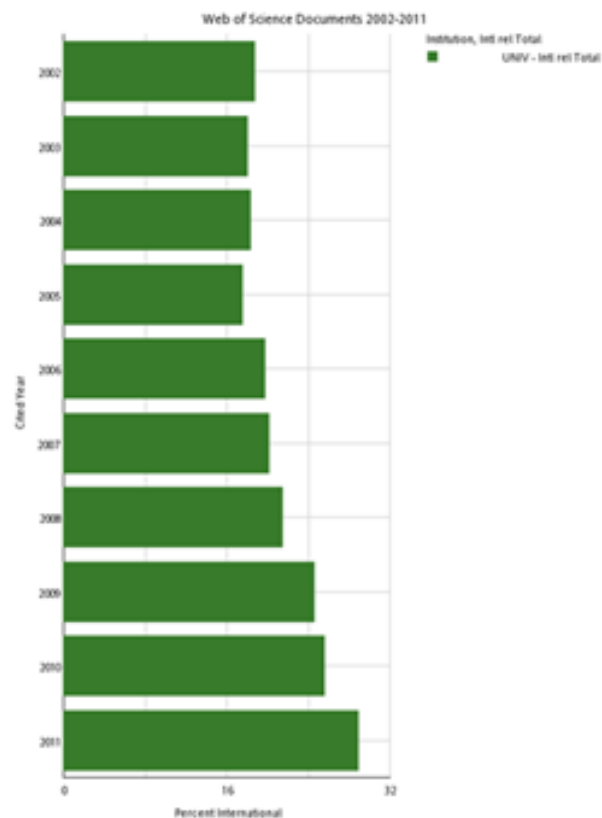
Share of Intl Collaboration

Percent with Intl Collaboration

Intl Collaboration Only

All

All and Intl Collaboration



COMPARE INSTITUTIONS 2002-2011

Report Limited To:

Dataset: Global Comparisons

Report Name: Compare Institutions

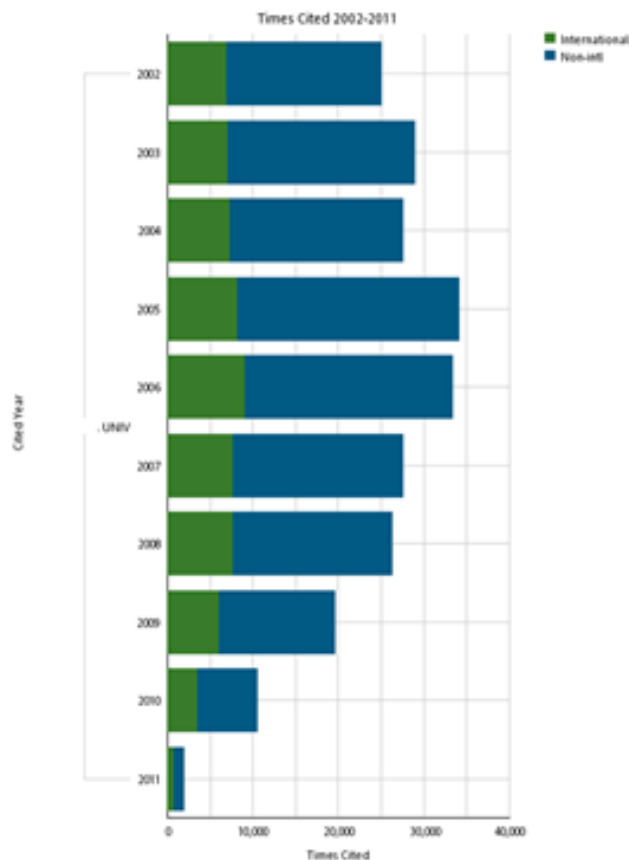
Time Period: 2002-2011

Institutions: UNIV

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Select an export option ▾

选择显示国际合作论文
与非国际合作论文的堆
积柱状图



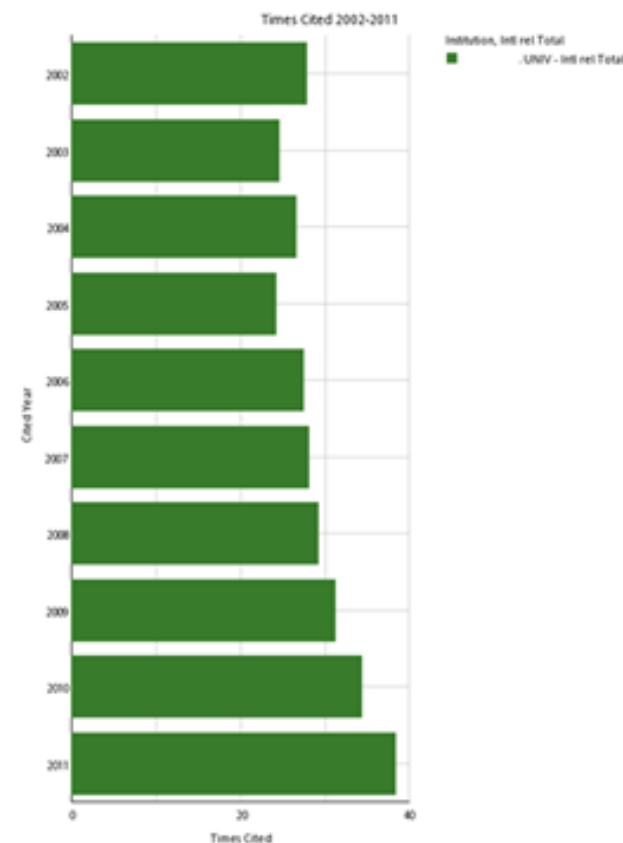
Note: You may not copy or redistribute this data in

Share of Intl Collaboration
 Share of Intl Collaboration
 Percent with Intl Collaboration
 Intl Collaboration Only
 All
 All and Intl Collaboration

选择显示国际合作论文
占总体论文的百分比

Show:

Percent with Intl Collaboration
 Share of Intl Collaboration
 Percent with Intl Collaboration
 Intl Collaboration Only
 All
 All and Intl Collaboration



Save

Print

Select an export option

COMPARE INSTITUTIONS 2002-2011

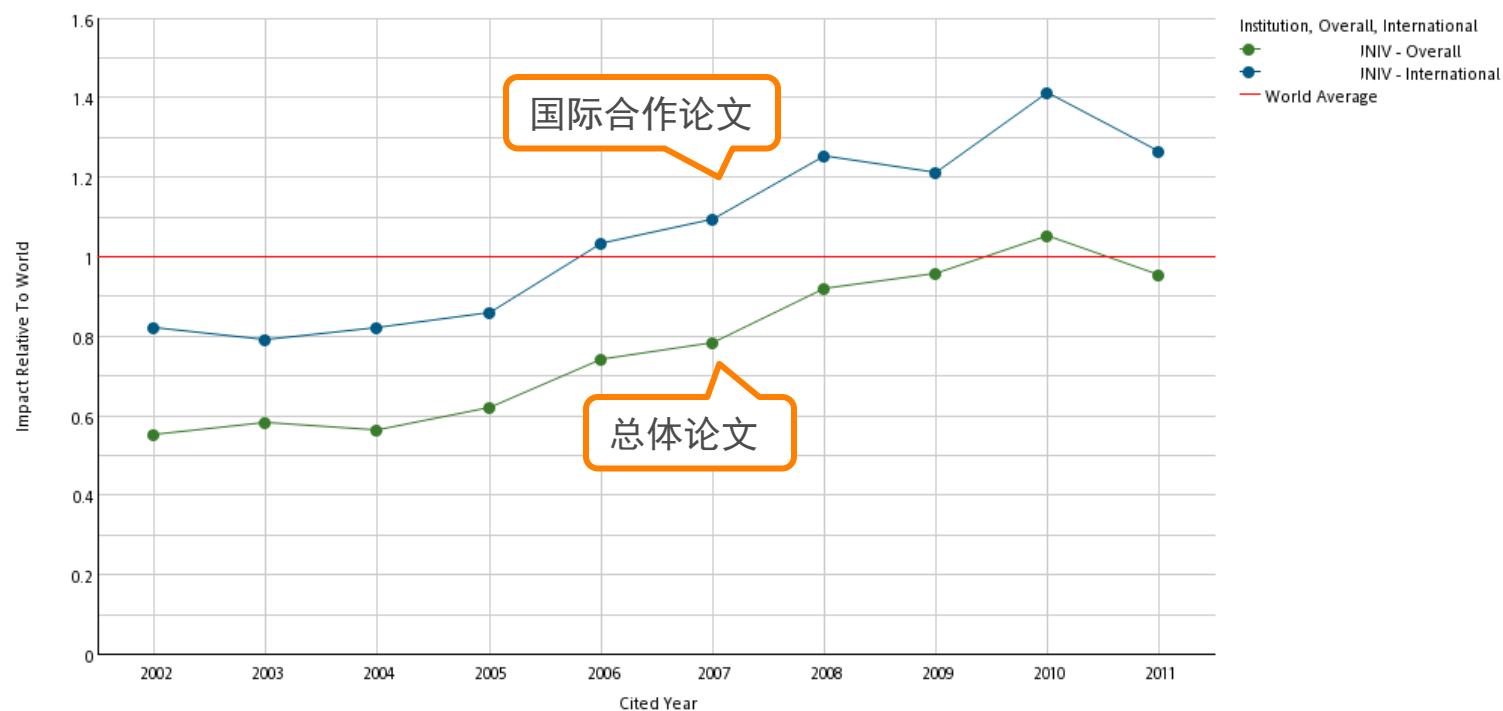
Report Limited To	
Dataset:	Global Comparisons
Report Name:	Compare Institutions
Time Period:	2002-2011
Institutions:	UNIV
Additional Information:	Cite this report as InCites™, Thomson Reuters (2012). Report Created: May 20, 2013 Data Processed Dec 31, 2011 Data Source: Web of Science. You may not copy or redistribute this data in whole or in part without the written consent of the Science business of Thomson Reuters

选择同时显示国际合作论文和总体论文

Show:

All and Intl Collaboration
All and Intl Collaboration
Intl Collaboration
All

Impact Relative To World 2002-2011



国际合作论文

总体论文

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小结

- **定制化分析报告**(Custom Report)能够建立动态的合作网络图，以直观、多指标方式揭示合作研究成果的影响力和合作效率，为评估和筛选合作对象提供依据。
- **机构对比数据**(Institutional Comparison)能够区分国际合作论文和总体论文，从而量化国际合作论文对机构研究影响力的贡献，为推动国际合作相关政策实施提供客观依据。

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本系列研讨将于6月4日开始，届时将邀请来自江南大学和中科院文献情报中心的老师与大家分享如何利用InCites分析工具生成学科及宏观的分析报告，课程包括：1. 总体绩效分析 6月4日 15:00-16:00，2. 学科规划建设 6月13日 15:00-16:30、3. 人员/团队评估 6月25日 15:00-16:00，4. 国际合作 7月2日 15:00-16:30。具体课程信息请参见通知。

课程安排 & 在线注册

* WebEx、PPT、以及视频课件在该课程结束次日供下载或在线观看

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课程名称	如何分析和跟踪机构总体绩效并进行对比分析
时 间	6月4日 15:00-16:00
内容简介	1. 把握机构总体学术表现和全球定位 2. 发现机构自身所处的发展阶段，形成具有自身特色的发展模式 3. 以全球基准为标尺，客观判断并跟踪机构学术影响力水平 *对应《InCites用户指导手册》Tips1. 2. 10. 11
授课讲师	汤森路透咨询师 马楠
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主讲教师简介

马楠

2008年2月加入汤森路透，现任汤森路透咨询服务部咨询师。2007年获北京航空航天大学管理学博士学位，曾经参与国家自然科学基金项目《信息科学部基础性研究的创新性评价与激励机制研究》和《我国原始创新能力的测度研究与国际比较》，并在SCI检索的国际学术期刊上发表学术论文6篇。主要研究方向为科学计量学、科研评估与绩效分析。

顾焱青



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